FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example:If typing, type COMMITTEE (in full) OR TYPE OR PRINT ₩ over the lines NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COM-666 11TH STREET NW SUITE 750 ADDRESS (number and street) Check if different than previously WASHINGTON DC 20001 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** ZIPCODE 🛋 CITY A IS THIS **AMENDED** NEW C00109991 Х REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Х Quarterly Report(Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: Termination Report (TER) in the Election on State of 0 1 0 1 2007 03 3 1 2007 Covering Period through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Mr. Lee Mercer Type or Print Name of Treasurer Electronically Filed by Mr. Lee Mercer 04 10 2007 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 02/2003) Only

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 02/2003)

Page 2 D 3 1 2007 40664.33 48539.00

Write or Type Committee Name NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE D 0 1 0 1 2007 0.3 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date Cash on Hand [°]2007 January 1 (b) Cash on Hand at 40664.33 Begining of Reporting Period 48539.00 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 89203.33 89203.33 6(a) and 6(c) for Column B) 8500.00 8500.00 Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 80703.33 80703.33 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D)

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003) Page 3

Write or Type Committee Name

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COM-

0 1 м N 0 1 М М 3^D1 2007 2007 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 46659.00 46659.00 (i) Itemized (use Schedule A) 1880.00 1880.00 (ii) Unitemized (iii) TOTAL (add 48539.00 48539.00 Lines 11(a)(i) and (ii) 0.00 0.00 (b) Political Party Committees Other Political Committees 0.00 0.00 (such as PACs) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry 48539.00 48539.00 Totals to Line 33, page 5) 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made to Federal candidates and Other 0.00 0.00 Political Committees 17. Other Federal Receipts 0.00 0.00 (Dividends, Interest, etc.) 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3) 0.00 0.00 (b) Levin Funds (from Schedule H5) 0.00 0.00 (c) Total Transfer (add 18(a) and 18(b)). 19. Total Receipts (add Lines 11(d), 48539.00 48539.00 12, 13, 14, 15, 16, 17, and 18(c)) 20. Total Federal Receipts 48539.00 48539.00 (subtract Line 18(c) from Line 19)

DETAILED SUMMARY PAGE

of Disbursements

Page 4

FEC Form 3X (Rev. 02/2003)

II. DISBURSE		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Operating Expenditure (a) Shared Federal/ 			
Activity (from Sc		0.00	0.00
(i) Federal Sh	are	0.00	0.00
(ii) Non-Federa	al Share	0.00	0.00
(b) Other Federal O	perating	0.00	0.00
Expenditures (c) Total Operating	Evpandituras	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	(ii) and (b))	0.00	0.00
Transfers to Affiliated	,	0.00	0.00
Contributions to		0.00	0.00
Federal Candidates/C	Committees	8500.00	8500.00
Independent Expendi			
(use Schedule E) Coordinated Expendi	ures Made by Party	0.00	0.00
Committees (2 U.S.C (use Schedule F)	. 441a(d))	0.00	0.00
		0.00	0.00
Loan Repayments Ma	ade	0.00	0.00
Loans Made Refunds of Contribut		0.00	0.00
(a) Individuals/Persons Other Than Political Committees	ons Other	0.00	0.00
man ontica o	Jiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		
(b) Political Party C		0.00	0.00
(c) Other Political C	ommittees	0.00	0.00
(d) Total Contribution			
(add Lines 28(a)	, (b), and (c))	0.00	0.00
Other Disbursements		0.00	0.00
Federal Election Acti	vity (2 U.S.C 431(20))		
(a) Shared Federal I	•		
(from Schedule I	′	0.00	0.00
(i) Federal Share	·		
(ii) "Levin" Shar	э	0.00	0.00
(b) Federal Election With Federal Fu	Activity Paid Entirely	0.00	0.00
(c) Total Federal Ele		0.00	0.00
3 (,(-),	(/ (/ ··· (- / / / / / / / / / / / / / / /		
Total Disbursements	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `	0500.00	0500.00
23, 24, 25, 26, 27, 2	3(d), 29 and 30(c))	8500.00	8500.00
Total Federal Disbu	sements		
(subtract Line 21(a)(' '''		
from Line 31)		8500.00	8500.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	48539.00	48539.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	48539.00	48539.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

S	CHEDULE A (FEC Form 3X)		Llas apparata aphadula(a)	FOR LINE NUMBER: PAGE 6 / 26
	EMIZED RECEIPTS		Use separate schedule(s) or each category of the	(check only one)
11	EINIZED RECEIPTS		Detailed Summary Page	X 11a 11b 11c 12
				13 14 15 16 17
Ar	y information copied from such Reports and Sta for commercial purposes, other than using the r	atements may name and add	not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)		, , , , , , , , , , , , , , , , , , , ,	
$ \rangle$	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Robert Anders			Date of Receipt
	Mailing Address 200 Providence Road #210			03 / 01 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11A1.6528
	Charlotte	NC	28207	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Plexus Capital LLC	Occupation		Contribution
	·		ent Executive	_
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
	Other (specify)	' '	500.00	
		0 0	0 0 0 0 0 0 0	
В.	Full Name (Last, First, Middle Initial) Mr. Michael Bailey			Date of Receipt
	Mailing Address 950 West Valley Road #2902			03
	City	State	Zip Code	Transaction ID: SA11A1.6490
	Wayne	PA	19087	Amount of Each Receipt this Period
	FEC ID number of contributing			
	federal political committee.	C		600.00
	Name of Employer	Occupation	n	Contribution
	Argosy Management LLC		nt Executive	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General		600.00	
	Other (specify)		000.00	
— С.	Full Name (Last, First, Middle Initial) Mr. David Buttolph			Date of Receipt
	Mailing Address 80 Field Point Road			M M / D D / Y Y Y Y Y Y O D D / Z D O D O D D O D D O D D D D D D D D D
	City	State	Zip Code	Transaction ID: SA11A1.6495
	Greenwich	CT	06830	Amount of Each Receipt this Period
	FEC ID number of contributing			
	federal political committee.	C		1000.00 Contribution
	Name of Employer Brookside Capital Partners	Occupation Investme	n ent Executive	Contribution
	Receipt For:		e Year-to-Date ▼	1
	Primary General		4000.00	
	Other (specify) ▼		1000.00	
_				
				2100.00
S	UBTOTAL of Receipts This Page (optional)		······	2100.00
1				

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 7 / 26
	EMIZED RECEIPTS		or each category of the	(check only one)
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۸۰	y information copied from such Reports and Sta	tomonto mov	r not be cold or used by any nore	13 14 15 16 17
or	for commercial purposes, other than using the n	ame and ado	lress of any political committee to	or for the purpose of soliciting contributions of solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)			
	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	ES POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Larry Carter			Date of Receipt
	Mailing Address 225 South Pulaski Stree	et		01 03 2007
	City	State	Zip Code	Transaction ID: SA11A1.6507
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer DSV Management, LLC	Occupation	nt Executive	Contribution
	Receipt For:		Year-to-Date ▼	
	Primary General	7.99.094.0		7
	Other (specify) ▼		1000.00	
— В.	Full Name (Last, First, Middle Initial) Mr. Wayne Clevenger			Date of Receipt
ъ.	Mailing Address 177 Madison Ave.			M M / D D / Y Y Y Y
	Trivialism Ave.			03 19 2007
	City	State	Zip Code	Transaction ID: SA11A1.6523
	Morristown	NJ	07960	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer MidMark Investments	Occupation		Contribution
			nt Executive	
	Receipt For: Primary General	Aggregate	Year-to-Date ▼	_
	Other (specify)		500.00	
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Larry A. Colangelo			Date of Receipt
	Mailing Address 177 Madison Avenue			03 19 2007
	City	State	Zip Code	Transaction ID: SA11A1.6526
	Morristown	NJ	07960	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer MidMark Capital	Occupation Investme	nt Executive	Contribution
	Receipt For:	-	Year-to-Date ▼	
	Primary General		050.00	7
			250.00	
	Other (specify) ▼	0 0	250.00	
s				1750.00

SCHEDULE A (FEC Form 3X)

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 26 (check only one) X 11a 11b 11c 12 13 14 15 16 17
An or	y information copied from such Reports and State for commercial purposes, other than using the r	atements may	ly not be sold or used by any persordress of any political committee to	n for the purpose of soliciting contributions
$\overline{\rangle}$	NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Robert Cresci Mailing Address One Rockefeller Plaza City New York FEC ID number of contributing federal political committee. Name of Employer Pecks Management Partners Receipt For:		Zip Code 10020 n ent Executive e Year-to-Date ▼	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Primary General Other (specify) ▼	0 0	1000.00	
3.	Full Name (Last, First, Middle Initial) Mr. Bryan Daniels Mailing Address 300 S. Wacker Drive #1050 City Chicago FEC ID number of contributing federal political committee. Name of Employer Prairie Capital Receipt For: Primary General Other (specify)		Zip Code 60606 In ent Executive e Year-to-Date ▼	Date of Receipt M M M / D D / Y Y Y Y Y Transaction ID: SA11A1.6531 Amount of Each Receipt this Period 2825.00 Contribution
D.	Full Name (Last, First, Middle Initial) Mr. Matthew Dwyer Mailing Address 11320 Random Hills Rosuite 600 City Fairfax FEC ID number of contributing federal political committee. Name of Employer Matthews Carter & Boyce Receipt For: Primary General Other (specify)	State VA C Occupation Accounta		Date of Receipt M M M / D D M 2007 Transaction ID: SA11A1.6522 Amount of Each Receipt this Period 500.00 Contribution
s	UBTOTAL of Receipts This Page (optional)			4325.00
T	OTAL This Period (last page this line number o	nly)		

S	CHEDULE A (FEC Form 3X)		Llee concrete cobodulo(o)	FOR LINE NUMBER: PAGE 9 / 26
	EMIZED RECEIPTS		Use separate schedule(s) or each category of the	(check only one)
11	EWIZED RECEIPTS		Detailed Summary Page	X 11a 11b 11c 12
_				13 14 15 16 17
Ar or	ny information copied from such Reports and Sta for commercial purposes, other than using the r	atements may name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)			
	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Claire Fairfield			Date of Receipt
	Mailing Address 118 Third Ave. #800			03 22 7 2007
	City	State	Zip Code	Transaction ID: SA11A1.6505
	Cedar Rapids	IA	52401	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer AAVIN, LLC	Occupation	n ent Executive	Contribution
	Receipt For:		Year-to-Date ▼	+
	Primary General	39 - 5		1
	Other (specify) ▼		500.00	
В.	Full Name (Last, First, Middle Initial) Mr. Ned N. Fleming			Date of Receipt
	Mailing Address 14001 N. Dallas Pkwy			03 19 2007
	#111 City	State	Zip Code	Transaction ID: SA11A1.6560
	Dallas	TX	75240	Amount of Each Receipt this Period
	FEC ID number of contributing		73240	
	federal political committee.	C		3000.00
	Name of Complemen	10		Contribution
	Name of Employer SunTx Capital Partners	Occupation	n ent Executive	
	Receipt For:		Year-to-Date ▼	
	Primary General	39 - 5		1
	Other (specify)		3000.00	
— С.	Full Name (Last, First, Middle Initial) Mr. Daniel Flemming			Date of Receipt
	Mailing Address 221 E. 4th Street			M M / D D / Y Y Y Y
				03 21 2007
	City	State	Zip Code	Transaction ID: SA11A1.6547
	Cincinnatti	OH	45202	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		70.00
	Name of Employer River Cities Capital Funds	Occupation	n ent Executive	Contribution
	Receipt For:		Year-to-Date ▼	_
	Primary General	39 - 5		1
	Other (specify) ▼		255.00	
_				
				3570.00
S	UBTOTAL of Receipts This Page (optional)		·····	3370.00
1				

<u> </u>		[FOR LINE NUMBER: PAGE 10 / 26
5(CHEDULE A (FEC Form 3X)		Use separate schedule(s)	(check only one)
ITEMIZED RECEIPTS			or each category of the	
			Detailed Summary Page	
				13 14 15 16 17
An or	y information copied from such Reports and State for commercial purposes, other than using the nar	ements may me and add	not be sold or used by any perso ress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)			
\rangle	NATIONAL ASSOCIATION OF SMALL B MITTEE	USINESS	INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Paul Giffin			Date of Receipt
	Mailing Address 3501 N. Causeway Blvd. #420			03 / 05 / 2007
	City	State	Zip Code	Transaction ID: SA11A1.6517
	Metairie	LA	70002	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	lettereon Capital Partnere	Occupation Investme	nt Executive	Contribution
	Receipt For:		Year-to-Date ▼	
	Primary General	1.99.194.11		1
	Other (specify) ▼		500.00	
	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\			
— В.	Full Name (Last, First, Middle Initial) Mr. Kirk Griswold			Date of Receipt
	Mailing Address 950 West Valley Road			M M / D D / Y Y Y Y
	#2902	03 01 2007		
	City	State	Zip Code	Transaction ID: SA11A1.6489
	Wayne	PA	19087	Amount of Each Receipt this Period
	FEC ID number of contributing			
	federal political committee.	C		600.00
	N. (5.1	O ::		Contribution
	Argosy Management TTC	Occupation		
			nt Executive	_
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General		600.00	
	Other (specify)			
_	Full Name (Last, First, Middle Initial)			
C.	Mr. Steven Groya			Date of Receipt
	Mailing Address 300 South Wacker Drive #2400			$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
	City	State	Zip Code	Transaction ID: SA11A1.6537
	Chicago	IL	60606	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.			600.00
				Contribution
	Name of Employer Prairie Capital Mezzanine	Occupation	ı	Contribution
	Fund, LP	Investme	nt Executive	
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General		200.00	1
	Other (specify)		600.00	
	<u> </u>			
	UBTOTAL of Receipts This Page (optional)			1700.00
hightharpoonup	OBTOTAL OF Necelpts This Page (optional)		······	

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	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate scheor each category of Detailed Summary	dule(s) the	FOR LINE NUMBER: PAGE 11 / 26 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Ar	y information copied from such Reports and State for commercial purposes, other than using the nan	ments may ne and ado	not be sold or used by	y any person formittee to so	for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL BUMITTEE				
Α.	Full Name (Last, First, Middle Initial) Mr. Allen Grum				Date of Receipt
	Mailing Address 2200 Rand Building				03 / 01 / 2007
	City Buffalo	State NY	Zip Code		Transaction ID: SA11A1.6542
	FEC ID number of contributing federal political committee.	C	14203		Amount of Each Receipt this Period 500.00
	Rand Capital		nt Executive		Contribution
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼	00.00	
В.	Full Name (Last, First, Middle Initial) Mr. Warren Haber				Date of Receipt
	Mailing Address 711 5th Ave. 5th Floor			03 / 12 / 2007	
	City	State	Zip Code		Transaction ID: SA11A1.6513
	New York FEC ID number of contributing federal political committee.	C	10022		Amount of Each Receipt this Period 500.00
	Foundare Eduity SRIC I D	Occupatior Investme	nt Executive		Contribution
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼	00.00	
<u> </u>	Full Name (Last, First, Middle Initial) Mr. William Harper				Date of Receipt
	Mailing Address 3501 N. Causeway Blvd. #420				02 28 2007
	City Metairie	State LA	Zip Code 70002		Transaction ID: SA11A1.6516 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	1 1 1 1 1		500.00	
	leffereon Capital Partners	Occupation Investme	nt Executive		Contribution
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼	00.00	
s	UBTOTAL of Receipts This Page (optional)				1500.00

TOTAL This Period (last page this line number only)

91	CHEDIII E A /EEC Form 2V)			FOR LINE NUMBER: PAGE 12/26
	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	(check only one)
IT	EMIZED RECEIPTS		or each category of the	X 11a 11b 11c 12
			Detailed Summary Page	13 14 15 16 17
Ar	y information copied from such Reports and Sta	atements may	not be sold or used by any persi	
or	for commercial purposes, other than using the r	name and add	dress of any political committee to	solicit contributions from such committee.
abla	NAME OF COMMITTEE (In Full)			
\rangle	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Joseph Hays			Date of Receipt
	Mailing Address 225 South Pulaski St.			01 03 7 2007
	City	State	Zip Code	Transaction ID: SA11A1.6506
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing			1000.00
	federal political committee.	C		1000.00
	Name of Employer DSV Management, LLC	Occupation	n ent Executive	Contirbution
	Receipt For:	1		_
	Primary General	Aygregate	e Year-to-Date ▼	
	Other (specify)		1000.00	
		0 0	0 0 0 0 0 0 0	4
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Patrick F. Healy			Date of Receipt
	Mailing Address 4520 Main Street			M M / D D / Y Y Y Y
	Suite 1600			03 21 2007
	City	State	Zip Code	Transaction ID: SA11A1.6500
	Kansas City	MO	64111	Amount of Each Receipt this Period
	FEC ID number of contributing			1000.00
	federal political committee.	C		1000.00
	Name of Employer	Occupation	2	Contribution
	Name of Employer C3 Capital Partners		nt Executive	
	Receipt For:		Year-to-Date ▼	-
	Primary General	1.999		1
	Other (specify) ▼		1000.00	
				4
C.	Full Name (Last, First, Middle Initial) Mr. Thomas Hiatt			Date of Receipt
	Mailing Address 10 West Market Street			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Suite 3030 City	State	Zip Code	Transaction ID: SA11A1.6502
	Indianapolis	IN	46204	Amount of Each Receipt this Period
	•		10201	
	FEC ID number of contributing federal political committee.	C		750.00
	Name of Canalassas	10	_	Contribution
	Name of Employer Centerfield Capital Partn-	Occupation		
	ers, LP Receipt For:	-	ent Executive e Year-to-Date ▼	\dashv
	Primary General	Aggregate	Fredi-10-Date V	
	Other (specify)		750.00	
		0 0		1
	L			
٩	UBTOTAL of Receipts This Page (optional)			2750.00
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S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 13 / 26		
	EMIZED RECEIPTS		or each category of the	(check only one)		
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or	y information copied from such Reports and Stateme for commercial purposes, other than using the name	and add	ress of any political committee to	solicit contributions from such committee.		
	NAME OF COMMITTEE (In Full)					
\rangle	NATIONAL ASSOCIATION OF SMALL BUS	SINESS	INVESTMENT COMPANIES	S POLITICAL ACTION COM-		
	Full Name (Last, First, Middle Initial)			B (B		
A.	Mr. Matthew Hook			Date of Receipt		
	Mailing Address 10 West Market Street, Suite 3030			03 14 2007		
		tate	Zip Code	Transaction ID: SA11A1.6504		
	<u>Indianapolis</u> II	V	46204	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	;		500.00		
				Contribution		
	Centerfield Capital Partn-	cupation				
	<u>010, E1</u>		nt Executive Year-to-Date ▼	_		
	Primary General	gregate	Teal-10-Date ▼			
	Other (specify)		500.00			
_	Full Name (Last, First, Middle Initial)			B (B		
В.				Date of Receipt		
	Mailing Address P.O. Box 21962			03 14 2007		
	City	tate	Zip Code	Transaction ID: SA11A1.6554		
	Greensboro	ΙΥ	27402	Amount of Each Receipt this Period		
	FEC ID number of contributing			333.34		
	federal political committee.	<u> </u>		333.34		
	Name of Employer Oc	cupation	1	Contribution		
	Blue Ridge Invéstors	•	nt Executive			
	Receipt For: Ag	gregate	Year-to-Date ▼			
	Primary General	1 1	000.04			
	Other (specify) ▼	0 0	333.34			
C.	Full Name (Last, First, Middle Initial) Mr. Christopher Killackey			Date of Receipt		
	Mailing Address 300 S. Wacker St.			M M / D D / Y Y Y Y		
	#1050			02 20 2007		
	•	tate	Zip Code	Transaction ID: SA11A1.6532		
	Chicago II	_	60606	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee	:		600.00		
	federal political committee.					
	Name of Employer Oc Prairie Capital	cupation	1	Contribution		
	' Inv		nt Executive			
		gregate	Year-to-Date ▼			
	Primary General Other (specify)		600.00			
	Other (specify)	1 1				
Г	<u>l</u>					
s	UBTOTAL of Receipts This Page (optional)		.	1433.34		
ř	1 		······· <u>/</u>			
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S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 14 / 26
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Ar or	y information copied from such Reports and Sta for commercial purposes, other than using the n	tements may ame and add	not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
\rangle	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Stephen King			Date of Receipt
	Mailing Address 300 S. Wacker Drive #1050			02 20 7 207
	City	State	Zip Code	Transaction ID: SA11A1.6530
	Chicago	<u>IL</u>	60606	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		2825.00
	Name of Employer	Occupation	 1	Contribution
	Name of Employer Praire Capital		nt Executive	
	Receipt For:	Aggregate	Year-to-Date ▼	7
	Primary General		0005.00	
	Other (specify) ▼	0 0	2825.00	
В.	Full Name (Last, First, Middle Initial) Mr. John Kirwin			Date of Receipt
	Mailing Address 950 West Valley Rd. #2902			03 / 01 / 2007
	City	State	Zip Code	Transaction ID: SA11A1.6488
	Wayne	PA	19087	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		600.00
	Name of Employer Argosy Management, LLC	Occupation Investme	nt Executive	Contribution
	Receipt For:	Aggregate	Year-to-Date V	
	Primary General Other (specify) ▼		600.00	
_	Full Name (Last, First, Middle Initial)			Date of Descint
C.	Mr. Richard Klaffky Mailing Address 100 Pearl Street			Date of Receipt
	Too Feati Street			03 21 2007
	City	State	Zip Code	Transaction ID: SA11A1.6509
	Hartford	CT	06103	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		550.00
	Name of Employer First new England Capital	Occupation Investme	nt Executive	Contribution
	Receipt For:	Aggregate	Year-to-Date ▼	7
	Primary General		550.00	1
	Other (specify) ▼		550.00	
s	UBTOTAL of Receipts This Page (optional)		······	3975.00

S	CHEDULE A (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 15/26
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Ar	ny information copied from such Reports and Statements	may not be sold or used by any person	
or	for commercial purposes, other than using the name and	address of any political committee to	solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)		
	NATIONAL ASSOCIATION OF SMALL BUSINE MITTEE	ESS INVESTMENT COMPANIES	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Peter Kooman		Date of Receipt
Λ.	Mailing Address 641 E. Lake St.		M M / D D / Y Y Y Y
	#230		02 19 2007
	City State	'	Transaction ID: SA11A1.6567
	Wayzata MN	55391	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		1000.00
	Name of Employer Bayview Capital Partners Occup	ation	Contribution
	Bayview Capital Partners Invest	ment Executive	
	Receipt For: Aggree	gate Year-to-Date ▼	
	Primary General	1000.00	
	Other (specify) ▼	1000.00	
— В.	Full Name (Last, First, Middle Initial) Mr. Monro B. Lanier, III		Date of Receipt
	Mailing Address 301 Washington Street		03 21 2007
	#301 City State	Zip Code	Transaction ID: SA11A1.6518
	Huntsville AL	35801	
	FFO ID work or of a satisfaction	33001	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		1000.00
			Contribution
	Name of Employer Hickory Venture Capital Invest	ation ment Executive	
	OID.	gate Year-to-Date V	_
	Primary General		
	Other (specify) ▼	1000.00	
_			
C.	Full Name (Last, First, Middle Initial) Mr. D. Scott Lutzke		Date of Receipt
	Mailing Address 10 West Market St.		M M / D D / Y Y Y Y
	Suite 3030		03 05 2007
	City State	'	Transaction ID: SA11A1.6503
	Indianapolis IN	46204	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		750.00
	Name of Employer Occup.	ation	Contribution
	Centerfield Capital Partn-	ment Executive	
	010, E1	gate Year-to-Date ▼	1
	Primary General	· · · · · · · · · · · · · · · · · · ·	
	Other (specify) ▼	750.00	
s	SUBTOTAL of Receipts This Page (optional))	2750.00
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S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 16 / 26
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\setminus	NAME OF COMMITTEE (In Full)			
	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
A.	Full Name (Last, First, Middle Initial) Mr. Phillip W. Martin			Date of Receipt
	Mailing Address 2410 Paces Ferry Road			03 14 2007
	City	State	Zip Code	Transaction ID: SA11A1.6557
	Atlanta	GA	30339	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		333.33
	Name of Employer Salem Capital Partners	Occupation Investme	nt Executive	Contribution
	Receipt For:		Year-to-Date ▼	
	Primary General		000.00	1
	Other (specify)		333.33	
В.	Full Name (Last, First, Middle Initial) Mr. Rocco Martino			Date of Receipt
٥.	Mailing Address Three First National Pla	7a		M M / D D / Y Y Y Y
	#5710	<u></u>		03 13 2007
	City	State	Zip Code	Transaction ID: SA11A1.6521
	Chicago	IL	60602	Amount of Each Receipt this Period
	FEC ID number of contributing	С		500.00
	federal political committee.			
	Name of Employer	Occupation	า	Contribution
	LaSalle Capital Group	Investme	nt Executive	
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General		500.00	1
	Other (specify)	0 0		
C.	Full Name (Last, First, Middle Initial) Mr. Glenn Mayfield			Date of Receipt
	Mailing Address 221 E. 4th Street			03 21 2007
	City	State	Zip Code	Transaction ID: SA11A1.6550
	Cincinnati	OH	45202	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		3489.00
	Name of Employer River Cities Capital Funds	Occupation Investme	nt Executive	Contribution
	Receipt For:	1	Year-to-Date ▼	
	Primary General		2422.22	1
	Other (specify)		3489.00	
_				
				4322.33
S	UBTOTAL of Receipts This Page (optional)			TULE.00
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S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 17/2	26
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or	y information copied from such Reports and Sta for commercial purposes, other than using the n	iame and add	dress of any political committee to	solicit contributions from such committee.	
	NAME OF COMMITTEE (In Full)				
\rangle	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-	
Α.	Full Name (Last, First, Middle Initial) Mr. Michael D. McHugh			Date of Receipt	
	Mailing Address 50 South Sixth Street #1460			03 19 200	
	City	State	Zip Code	Transaction ID: SA11A1.6515	
	Minneapolis	MN	55402	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		400.0	00
	Name of Employer Lakeside Capital	Occupation		Contribution	
	·		nt Executive	4	
	Receipt For: Primary General	Aggregate	Year-to-Date ▼		
	Other (specify)	' '	400.00		
		1 1			
В.	Full Name (Last, First, Middle Initial) Mr. Michael McQueeney			Date of Receipt	
	Mailing Address 70 West Chippewa #500			M M / D D / Y Y Y Y O D D / Y Z O O	
	City	State	Zip Code	Transaction ID: SA11A1.6559	
	Buffalo	NY	14202	Amount of Each Receipt this Period	
	FEC ID number of contributing				-
	federal political committee.	C		1500.0	00
	Name of Employer	Occupation	2	Contribution	
	Name of Employer Summer Street Capital Par-		nt Executive		
	tners, LLC Receipt For:		Year-to-Date ▼	-	
	Primary General	33 -3			
	Other (specify) ▼	0 0	1500.00		
<u>с.</u>	Full Name (Last, First, Middle Initial) Mr. L. Ray Moncrief			Date of Receipt	
	Mailing Address 362 Old Whitley Road			M M / D D / Y Y Y	
	<u> </u>			03 19 200	7
	City	State	Zip Code	Transaction ID: SA11A1.6527	
	London	KY	40701	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		500.0	00
	Name of Employer Mountain Ventures, Inc.	Occupation Investme	nt Executive	Contribution	
	Receipt For:		Year-to-Date ▼	1	
	Primary General		500.00		
	Other (specify) ▼		500.00		
	IIDTOTAL of Descipto This David (autica el)			2400.0	00
L	UBTOTAL of Receipts This Page (optional)		<u> </u>		
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SCHEDULE A (FEC Form 3X)			Use separate schedule(s)	FOR LINE NUMBER: PAGE 18 / 26
ITEMIZED RECEIPTS			or each category of the	(check only one)
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Ar	ny information copied from such Reports and Sta	atements may	not be sold or used by any person	on for the purpose of soliciting contributions
or	for commercial purposes, other than using the r	name and add	dress of any political committee to	solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	ES POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Cary Musech			Date of Receipt
Λ.	Mailing Address 641 E. Lake St.			M M / D D / Y Y Y Y Y Y O Z 1 3 2 0 0 7
	#230 City	State	Zip Code	Transaction ID: SA11A1.6566
	Wayzata	MN	55391	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Bayview Capital Partners	Occupation	nt Executive	Contribution
	Receipt For: Primary General	Aggregate	Year-to-Date ▼	1
	Other (specify) ▼	0 0	1000.00	
В.	Full Name (Last, First, Middle Initial) Mr. Denis Newman			Date of Receipt
	Mailing Address 177 Madison Ave.			03 / 19 / 2007
	City	State	Zip Code	Transaction ID: SA11A1.6524
	Morristown	NJ	07960	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	Name of Employer MidMark Investments	Occupation	nt Executive	Contribution
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General		500.00	1
	Other (specify)	0 0	500.00	
C.	Full Name (Last, First, Middle Initial) Mr. John Nugent			Date of Receipt
	Mailing Address 950 West Valley Road #2902			03 01 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11A1.6492
	Wayne	PA	19087	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		400.00
	Name of Employer Argosy Investment Partners	Occupation Investme	n nt Executive	Contribution
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	400.00	
s	UBTOTAL of Receipts This Page (optional)		_	1900.00
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S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 19 / 26	
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or	ny information copied from such Reports and Si for commercial purposes, other than using the	name and add	dress of any political committee to	solicit contributions from such committee.	
	NAME OF COMMITTEE (In Full)				_
	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-	
Α.	Full Name (Last, First, Middle Initial) Mr. Marshall Parker			Date of Receipt	
	Mailing Address 6700 Antioch Plaza #460			03 / 05 / 2007	
	City	State	Zip Code	Transaction ID: SA11A1.6520	
	Overland Park	KS	66204	Amount of Each Receipt this Period	-
	FEC ID number of contributing federal political committee.	C		500.00	
	Name of Employer Kansas Venture Capital, Inc.	Occupation Investme	n ent Executive	Contribution	
	Receipt For:		e Year-to-Date ▼		
	Primary General		500.00		
	Other (specify) ▼	0 0	300.00		
В.	Full Name (Last, First, Middle Initial) Mr. Jeffrey Pfeffer			Date of Receipt	
	Mailing Address 150 N. Wacker Drive Suite 1900			03 / 13 / 2007	
	City	State	Zip Code	Transaction ID: SA11A1.6501	
	Chicago	IL	60606	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	С		500.00	
	Name of Employer DNJ Leasing	Occupation	n	Contribution	
	DNJ Leasing	Investme	nt Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General Other (specify) ▼	0 0	500.00		
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Marc Reich			Date of Receipt	
	Mailing Address 200 Fisher Drive			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
	City	State	Zip Code	Transaction ID: SA11A1.6519	
	Avon	CT	06001	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		3000.00	
	Name of Employer Ironwood Capital Advisors	Occupation Investme	n nt Executive	Contribution	
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General		3000.00		
	Other (specify) ▼	0 0	3000.00		
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or		ame and add	dress of any political committee to	solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
	Full Name (Last, First, Middle Initial)			
A.	Mr. John Ritter			Date of Receipt
	Mailing Address 100 Pearl Street			03 21 2007
	City	State	Zip Code	Transaction ID: SA11A1.6510
	Hartford	CT	06103	Amount of Each Receipt this Period
	FEC ID number of contributing			
	federal political committee.	C		300.00
				Contribution
	Name of Employer First New England Capital	Occupation		Gontinbution
			nt Executive	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General		300.00	
	Other (specify)	0 0		
	Full Name (Last, First, Middle Initial)			
В.				Date of Receipt
	Mailing Address 177 Madison Ave.			M M / D D / Y Y Y Y
				03 19 2007
	City	State	Zip Code	Transaction ID: SA11A1.6525
	Morristown	NJ	07960	Amount of Each Receipt this Period
	FEC ID number of contributing			500.00
	federal political committee.	C		500.00
	Name of Employer	Ossunation		Contribution
	Name of Employer MidMark Investments	Occupation	ent Executive	
	Receipt For:		Year-to-Date ▼	-
	Primary General	Aggregate	real to Bate ¥	1
	Other (specify) ▼		500.00	
			0 0 0 0 0 0 0	1
_	Full Name (Last, First, Middle Initial)			
C.	Mr. Alan B. Roth			Date of Receipt
	Mailing Address 225 West Wacker Drive			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
	City	State	Zip Code	Transaction ID: SA11A1.6568
	Chicago	IL	60606	Amount of Each Receipt this Period
	•		00000	Amount of Each Necept this Period
	FEC ID number of contributing federal political committee.	C		1500.00
				Contribution
	Name of Employer Wildman, Harrold, Allen	Occupation	n	Contribution
	& Dixo	Attorney		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General		1500.00	
	Other (specify)		1000.00	
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or	y information copied from such Reports and Sta for commercial purposes, other than using the i	atements may name and add	rnot be sold or used by any pers lress of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	INVESTMENT COMPANIE	ES POLITICAL ACTION COM-
A.	Full Name (Last, First, Middle Initial) Mr. Corey Sclar			Date of Receipt
	Mailing Address 80 Field Point Road			03 / 21 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11A1.6499
	Greenwich	CT	06830	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		300.00
	Name of Employer Brookside Capital Partners	Occupation	nt Executive	Contribution
	Receipt For:		Year-to-Date ▼	
	Primary General			7
	Other (specify)	0 0	300.00	
В.	Full Name (Last, First, Middle Initial) Mr. Keven Shanahan			Date of Receipt
	Mailing Address 950 West Valley Road			03 01 YYYYY 2007
	City	State	Zip Code	Transaction ID: SA11A1.6491
				Transaction IST of the transaction
	Wayne	PA	19087	Amount of Each Receipt this Period
	Wayne FEC ID number of contributing federal political committee.	PA C	19087	Amount of Each Receipt this Period 400.00
	FEC ID number of contributing	C Occupation		Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee. Name of Employer	Occupation Investme	nt Executive	Amount of Each Receipt this Period 400.00
	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners	Occupation Investme	nt Executive Year-to-Date ▼	Amount of Each Receipt this Period 400.00
	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For:	Occupation Investme	nt Executive	Amount of Each Receipt this Period 400.00
	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General	Occupation Investme	nt Executive Year-to-Date ▼	Amount of Each Receipt this Period 400.00
C.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial)	Occupation Investme	nt Executive Year-to-Date ▼	Amount of Each Receipt this Period 400.00 Contribution
c.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City	Occupation Investme Aggregate	nt Executive Year-to-Date ▼ 400.00	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt
 C.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway	Occupation Investme Aggregate	nt Executive Year-to-Date ▼ 400.00	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
C.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City	Occupation Investme Aggregate	nt Executive Year-to-Date ▼ 400.00	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M M / D D V Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
c.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City Minnetonka FEC ID number of contributing	C Occupation Investme Aggregate State MN C Occupation	nnt Executive Year-to-Date ▼ 400.00 Zip Code 55305	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
<u></u> С.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City Minnetonka FEC ID number of contributing federal political committee. Name of Employer Tonka Bay Equity Partners Receipt For:	C Occupation Investme Aggregate State MN C Occupation Investme	nnt Executive Year-to-Date ▼ 400.00 Zip Code 55305	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M M / D D V Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
С.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City Minnetonka FEC ID number of contributing federal political committee. Name of Employer Tonka Bay Equity Partners	C Occupation Investme Aggregate State MN C Occupation Investme	nnt Executive Year-to-Date ▼ 400.00 Zip Code 55305	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
c.	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City Minnetonka FEC ID number of contributing federal political committee. Name of Employer Tonka Bay Equity Partners Receipt For: Primary General	C Occupation Investme Aggregate State MN C Occupation Investme	nt Executive Year-to-Date ▼ 400.00 Zip Code 55305 nt Executive Year-to-Date ▼	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M M / D D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	FEC ID number of contributing federal political committee. Name of Employer Argosy Partners Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. Shane Slominski Mailing Address 301 Carlson Parkway City Minnetonka FEC ID number of contributing federal political committee. Name of Employer Tonka Bay Equity Partners Receipt For: Primary General	C Occupation Investme Aggregate MN C Occupation Investme Aggregate Aggregate	Tint Executive Year-to-Date ▼ 400.00 Zip Code 55305 Int Executive Year-to-Date ▼ 250.00	Amount of Each Receipt this Period 400.00 Contribution Date of Receipt M M M / D D V Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y

S	CHEDULE A (FEC Form 3X)		l le a compueta a charle la (a)	FOR LINE NUMBER: PAGE 22 / 26
	EMIZED RECEIPTS		Use separate schedule(s) or each category of the	(check only one)
• • • • • • • • • • • • • • • • • • • •	EMIZED RECEIPTS		Detailed Summary Page	X 11a 11b 11c 12
				13 14 15 16 17
Ar or	y information copied from such Reports and Sta for commercial purposes, other than using the n	tements may ame and add	not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)		·	
\rangle	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.	Full Name (Last, First, Middle Initial) Mr. Michael J. Smiggen			Date of Receipt
	Mailing Address 301 Carlson Pkwy #325			02 13 2007
	City	State	Zip Code	Transaction ID: SA11A1.6564
	Minnetonka	MN	55305	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Tonka Bay Equity Partners	Occupation	n ent Executive	Contribution
	Receipt For:	1	e Year-to-Date ▼	-
	Primary General			
	Other (specify)	0 0	500.00	
— В.	Full Name (Last, First, Middle Initial) Mr. Darren Snyder			Date of Receipt
	Mailing Address 300 S. Wacker St. #1050			0 2 D D D D D D D D D D D D D D D D D D
	City	State	Zip Code	Transaction ID: SA11A1.6540
	Chicago	IL	60606	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		600.00
	Name of Employer Prairie Capital	Occupation Investme	n ent Executive	Contribution
	Receipt For:	Aggregate	e Year-to-Date ▼	7
	Primary General Other (specify) ▼		600.00	
— С.	Full Name (Last, First, Middle Initial) Mr. Stephen Soderling			Date of Receipt
٥.	Mailing Address 301 Carlson Pkwy #325			M M / D D / Y Y Y Y
	City	State	Zip Code	0 2 1 3 2 0 0 7 Transaction ID: SA11A1.6565
	Minnetonka	MN	55305	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Tonka Bay Equity Partners	Occupation	n ent Executive	Contribution
	Receipt For:	1	e Year-to-Date ▼	
	Primary General Other (specify)	-	500.00	
	☐ Other (specify) ▼			
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S	CHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 23 / 26
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Ar	y information copied from such Reports and Sta for commercial purposes, other than using the	atements may name and add	not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)		71	
\rangle	NATIONAL ASSOCIATION OF SMALL MITTEE	BUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Α.				Date of Receipt
	Mailing Address 711 5th Ave. 5th Floor			$\begin{bmatrix} & & & & & & & & & & & & & & & & & & &$
	City	State	Zip Code	Transaction ID: SA11A1.6514
	New York	NY	10022	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Founders Equity SBIC, LP	Occupation	n ent Executive	Contribution
	Receipt For:		e Year-to-Date ▼	
	Primary General			
	Other (specify) ▼		500.00	
В.	Full Name (Last, First, Middle Initial) Mr. James Thorpe			Date of Receipt
	Mailing Address 118 Third Ave. #837			03 19 2007
	City	State	Zip Code	Transaction ID: SA11A1.6487
	Cedar Rapids	IA	52401	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	Name of Employer AAVIN, LLC	Occupation Investme	n ent Executive	Contribution
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	500.00	
<u> </u>	Full Name (Last, First, Middle Initial) Stephen Vivian			Date of Receipt
	Mailing Address 444 North Michigan Suite 1910			03 / 13 / 2007
	City	State	Zip Code	Transaction ID: SA11A1.6541
	Chicago	IL	60611	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Prism Capital	Occupation Investme	n ent Executive	Contribution
	Receipt For:		e Year-to-Date ▼	1
	Primary General Other (specify) ▼		500.00	
٩	UBTOTAL of Receipts This Page (optional)			1500.00
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Ar or	ly information copied from such Reports and State for commercial purposes, other than using the na	ements may me and add	not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.					
\setminus	NAME OF COMMITTEE (In Full)								
\angle	NATIONAL ASSOCIATION OF SMALL B MITTEE	SUSINESS	S INVESTMENT COMPANIE	S POLITICAL ACTION COM-					
A.	Full Name (Last, First, Middle Initial) Mr. Sam Walls			Date of Receipt					
	Mailing Address 225 South Pulaski St.			01 03 7 2007					
	City	State	Zip Code	Transaction ID: SA11A1.6508					
	Little Rock	AR	72201	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	C		1000.00					
	Name of Employer DSV Management, LLC	Occupation Investme	nt Executive	Contribution					
	Receipt For:	Aggregate	Year-to-Date ▼						
	Primary General Other (specify) ▼	0 0	1000.00						
В.	Full Name (Last, First, Middle Initial) Mr. John White			Date of Receipt					
	Mailing Address 711 5th Ave. 5th Floor			03 12 7 2007					
	City	State	Zip Code	Transaction ID: SA11A1.6512					
	New York	NY	10022	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С		500.00					
	Name of Employer Founders Equity SBIC, LP	Occupation Investme	n nt Executive	Contribution					
	Receipt For:	Aggregate	Year-to-Date ▼						
	Primary General Other (specify) ▼		500.00						
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Spalding White			Date of Receipt					
	Mailing Address 2410 Paces Ferry Road			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
	City	State	Zip Code	Transaction ID: SA11A1.6556					
	Atlanta	GA	30339	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	C		333.33					
	Salam Canital Partners	Occupation Investme	n nt Executive	Contrbution					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 333.33						
s	UBTOTAL of Receipts This Page (optional)			1833.33					

TOTAL This Period (last page this line number only)

46659.00

SCHEDULE B (FEC Form 3X)

SCHEDOLL B (I LCI OIIII 3X)	Use seperate schedule(s)			E NUMBE	:K:		PAG	E 25/2	26
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		check or 21b 27	22 28a	X 23		24 28c	25 29	26 30b
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<u> </u>	and address of any political t	COITIII	iillee lo s	SOIICIL COITE	ibutions	5 110111 3	sucii coi	Tillititee	
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL BUS MITTEE	SINESS INVESTMENT (СОМ	PANIES	S POLITI	CAL A	CTIO	N COM	1-	
Full Name (Last, First, Middle Initial) A. COLEMAN FOR SENATE 08					saction of Disbu		23.657	72	
					M /	1 3	/ Y	ž 0 ŏ 7	Υ
Mailing Address 7300 HUDSON BLVD SU	ITE 270A			03		13	L.	2007	
•	State Zip Code MN 55128			Amou	ınt of Ea	ach Dis	burseme	ent this F	Period
Purpose of Disbursement			-	T L.				2000.0	00
Contribution Candidate Name		Cate	egory/						
			/pe						
Office Sought: House Disburser	nent For: 2008 Primary General								
President	Other (specify)								
State: MN District: 00									
Full Name (Last, First, Middle Initial) GOMMI. TO RE-ELECT NYDIA M VELAZO	UEZ				saction of Disbu		23.657 nt	78	
Mailing Address 315 INSPIRATION LANE				0 ^M 3	M /	^D 2 7	/ Y	ž 0 ŏ 7	, Y
Walling Address 315 INSPIRATION LANE						/			
,	State Zip Code MD 20878			Amou	ınt of Ea	ach Dis	burseme	ent this F	Period
Purpose of Disbursement Contribution				Ţ L.				2500.0	00
Candidate Name			egory/ ype						
Office Sought: X House Disburse									
Senate X President	Primary General Other (specify)								
State: NY District: 12									
Full Name (Last, First, Middle Initial) HEARTLAND VALUES PAC					saction of Disbu		23.657 nt	70	
Mailing Address PO BOX 505				0 ^M 3	M /	^D 0 7	/ Y	ž 0 ŏ 7	, ^Y
	State Zip Code SD 57101			Amou	ınt of Ea	ach Dis	bursem	ent this F	Period
Purpose of Disbursement Contribution			-	<u> </u>				2000.0	00
Candidate Name			egory/ /pe						
Office Sought: House Disburser Senate	nent For: 2008 Primary X General								
President	Other (specify)								
State: District:									
SUBTOTAL of Disbursements This Page (optional)			. •					6500.0	00
TOTAL This Period (last page this line number only)									

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	CHEDULE B (FEC Form 3	' Use sepera	ate schedule(s)	FOR LINE (check only	NUMBER: PAGE 26 / 26	_
IT	EMIZED DISBURSEMEN		ategory of the	21b	7 22	
		Detailed S	ummary Page	27	28a 28b 28c 29 30	
					for the purpose of solicating contributions	_
or f	or commercial purposes, other than usin	g the name and address	of any political	committee to so	licit contributions from such committee	
Λ	NAME OF COMMITTEE (In Full)					
/	NATIONAL ASSOCIATION OF S	MALL BUSINESS IN	VESTMENT (COMPANIES	POLITICAL ACTION COM-	
\angle	MITTEE					_
Α.	Full Name (Last, First, Middle Initial)				Transaction ID: SB23.6574	
Λ.	JOHN KERRY FOR SENATE				Date of Disbursement	
	Mailing Address 511 C STREET	NE			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & 3 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 1 & 4 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 7 \end{smallmatrix} \end{bmatrix}$	
	City	State	Zip Code		Amount of Each Disbursement this Period	_
	WASHINGTON	DC	20002			
	Purpose of Disbursement				1000.00	
	Contribution					
	Candidate Name			Category/		
				Туре		
	Office Sought: House	Disbursement For:	2008			
	χ Senate President	X Primary	General			
	State: MA District: 00	Other (spec	ııy) ▼			
	Full Name (Last, First, Middle Initial)					-
В.	LARSON FOR CONGRESS				Transaction ID: SB23.6576 Date of Disbursement	
	LANGON I ON GONGNESS					
	Mailing Address 29 RUFF CIRCL	-E			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & 3 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 0 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y \\ 2 & 0 & 0 \end{smallmatrix} 7 \end{bmatrix}$	
	City	State	Zip Code		Amount of Each Disbursement this Period	-
	GLASTONBURY	CT	06033			
	Purpose of Disbursement			· · · ·	1000.00	
	Contribution					
	Candidate Name			Category/		
	Office Cought: A House	Dishura smoot Farr	2008	Туре		
	Office Sought: X House Senate	Disbursement For: X Primary	2008 General			
	President	Other (spec				
	State: CT District: 01	Other (spec	" <i>y</i> / ▼			

SUBTOTAL of Disbursements This Page (optional)	<u> </u>	2000.00
TOTAL This Period (last page this line number only)	—	8500.00